

# UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

APPLICATION N	D.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/924,556		08/09/2001	Stephen A. Yencho	032405-058	5402
33109	7590	05/05/2005		EXAMINER	
CARDICA, INC. 900 SAGINAW DRIVE				BAXTER, J	JESSICA R
REDWOOD CITY, CA 94063				ART UNIT	PAPER NUMBER
	ŕ			3731	
				DATE MAILED OF OCCUPAN	-

Please find below and/or attached an Office communication concerning this application or proceeding.

	Application No.	(Applicant/a)
• :	Application No.	Applicant(s)
Office Action Comment	09/924,556	YENCHO ET AL.
Office Action Summary	Examiner	Art Unit
	Jessica R Baxter	3731
The MAILING DATE of this communi Period for Reply	cation appears on the cover sheet w	ith the correspondence address
A SHORTENED STATUTORY PERIOD FOTHE MAILING DATE OF THIS COMMUNION - Extensions of time may be available under the provisions of after SIX (6) MONTHS from the mailing date of this communif the period for reply specified above is less than thirty (30 - If NO period for reply is specified above, the maximum states are reply within the set or extended period for reply Any reply received by the Office later than three months after earned patent term adjustment. See 37 CFR 1.704(b)	CATION. of 37 CFR 1.136(a). In no event, however, may a runication. ) days, a reply within the statutory minimum of thirutory period will apply and will expire SIX (6) MON will, by statute, cause the application to become AE	reply be timely filed  by (30) days will be considered timely.  ITHS from the mailing date of this communication.  BANDONED (35 U.S.C. § 133).
Status		
1) Responsive to communication(s) file	d on <u>09 <i>February 2005</i></u> .	
2a)⊠ This action is FINAL. 2	b) ☐ This action is non-final.	
3) Since this application is in condition t	or allowance except for formal matt	ers, prosecution as to the merits is
closed in accordance with the practic	e under <i>Ex parte Quayle</i> , 1935 C.D	). 11, 453 O.G. 213.
Disposition of Claims		
4)⊠ Claim(s) <u>37-49,55-58 and 77-84</u> is/aı	re pending in the application	
4a) Of the above claim(s) is/ar	• • • • • • • • • • • • • • • • • • • •	
5)⊠ Claim(s) <u>37-44 and 77-84</u> is/are allow		
6)⊠ Claim(s) <u>45-49 and 55-58</u> is/are rejection		
7) Claim(s) is/are objected to.		
8) Claim(s) are subject to restrict	tion and/or election requirement.	
Application Papers		
· · <u> </u>	a Evaminer	
9) The specification is objected to by the 10) The drawing(s) filed on is/are:		by the Examiner
Applicant may not request that any object		
Replacement drawing sheet(s) including	- · · · · · · · · · · · · · · · · · · ·	` ;
11) The oath or declaration is objected to	,	• • • • • • • • • • • • • • • • • • • •
Priority under 35 U.S.C. § 119		
. <u> </u>	or foreign priority under 25 LLC C	\$ 110(a) (d) or (f)
<ul><li>12) Acknowledgment is made of a claim f</li><li>a) All b) Some * c) None of:</li></ul>	or roreign priority under 35 0.5.C. §	; 113(a)-(u) 01 (1).
1. Certified copies of the priority of	tocuments have been received	
2. Certified copies of the priority of		opplication No
3. Copies of the certified copies of		
application from the Internation	· •	
* See the attached detailed Office action		received.
	•	
Attachment(s)		

1) | Notice of

<ul><li>I) ☐ Notice of References Cited (PTO-892</li></ul>	2)
------------------------------------------------------------	----

2) Notice of Draftsperson's Patent Drawing Review (PTO-948)

3) Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)

Paper	No(s)/Mail	Date .
-------	------------	--------

5) Notice of Informal Patent Application (PTO-152)

6) 🔲 Other: \_\_\_

Application/Control Number: 09/924,556

Art Unit: 3731

#### **DETAILED ACTION**

## Claim Rejections - 35 USC § 102

1. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless -

- (b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.
- (e) the invention was described in (1) an application for patent, published under section 122(b), by another filed in the United States before the invention by the applicant for patent or (2) a patent granted on an application for patent by another filed in the United States before the invention by the applicant for patent, except that an international application filed under the treaty defined in section 351(a) shall have the effects for purposes of this subsection of an application filed in the United States only if the international application designated the United States and was published under Article 21(2) of such treaty in the English language.
- 2. Claims 55-58 are rejected under 35 U.S.C. 102(e) as being anticipated by U.S. Patent No. 6,391,036 to Berg et al.

Berg discloses an anastomosis tool comprising a vessel penetrating member and configured for withdrawal outside the lumen of the graft vessel (FIG. 25), wherein the tool is configured to penetrate the target vessel and deliver a one-piece anastomosis device (Column 10 lines 13-16); the tool further comprises two concentric tubes configured for movement with respect to one another.

3. Claims 45-49 are rejected under 35 U.S.C. 102(b) as being anticipated by U.S. Patent No. 5,234,447 to Kaster et al.

Kaster discloses an anastomosis applicator comprising a first tube configured to receive a one-piece anastomosis device; a second tube concentric with the first tube, configured for movement with respect to one another, configured for deployment of the anastomosis device; and configured to remain outside the graft vessel (FIGS. 14-19); and

wherein the applicator has a pre-deployment configuration where at least one tube (tube 16) extends beyond a distal end of the device.

Regarding the phrase "the other said tube to apply torque to said anastomosis device," it has been held that a recitation with respect to the manner in which a claimed apparatus is intended to be employed does not differentiate the claimed apparatus from a prior art apparatus satisfying the claimed structural limitations. Kaster discloses a device that applies torque to the anastomosis device to deploy the arms. The members 44 are rotated with respect to the unit 46.

### Allowable Subject Matter

4. Claims 37-44 and 77-84 are allowed.

# Response to Arguments

- 5. Applicant's arguments with respect to claims 45-49, 55-58 and 77-81 have been considered but are most in view of the new ground(s) of rejection.
- 6. Applicant argues that Kaster et al. '447 does not disclose the application of torque to the anastomosis device. Regarding the phrase "the other said tube to apply torque to said anastomosis device," it has been held that a recitation with respect to the manner in which a claimed apparatus is intended to be employed does not differentiate the claimed apparatus from a prior art apparatus satisfying the claimed structural limitations. In addition, Kaster discloses a device that applies torque to the anastomosis device to deploy the arms. The members 44 are rotated with respect to the unit 46. Therefore the rejection of claims 45-49 over Kaster et al. '447 is proper.

7. Applicant argues that Berg et al. '036 does not disclose a "vessel penetrating member ... configured for withdrawal outside the lumen of the graft vessel." However, the vessel penetrating member is withdrawn from the device through the lumen. The limitations of the claim do not indicate that the withdrawal process is taking place completely outside of the graft vessel. The claim limitation indicates that the member is withdrawn to outside the lumen of the graft vessel, not that the member is never inside the graft vessel. Therefore, the rejection of claims 55-58 over Berg et al. '136 is proper.

#### Conclusion

8. **THIS ACTION IS MADE FINAL.** Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the mailing date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Jessica R Baxter whose telephone number is 571-272-4691. The examiner can normally be reached on M-F 8:30AM - 5:00PM.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Anhtuan T Nguyen can be reached on 571-272-4963. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

Jessica R Baxter Examiner Art Unit 3731

M jrb

ANHTUAN T. NGUYEN SUPERVISORY PATENT EXAMINER